

C O N T R A C T

The COUNTY OF SAN BENITO ("COUNTY") and Carol Johnson-Schroetlin Psychologist ("CONTRACTOR") enter into this contract which shall be effective on the date stated in Paragraph 1.

1. Duration of Contract.

This contract shall commence on July 1, 2018, and end on June 30, 2019, unless sooner terminated as specified herein.

2. Scope of Services.

CONTRACTOR, for COUNTY's benefit shall perform the services specified on Attachment A to this contract. Attachment A is made a part of this contract.

3. Compensation for Services.

In consideration for CONTRACTOR's performance, COUNTY shall pay compensation to CONTRACTOR according to the terms specified in Attachment B. Attachment B is made a part of this contract.

4. General Terms and Conditions.

The rights and duties of the parties to this contract are governed by the general terms and conditions mutually agreed to and listed in Attachment C. Attachment C is made a part of this contract.

5. Insurance Limits.

CONTRACTOR shall maintain the following insurance policy limits of coverage consistent with the further insurance requirements specified in Attachment C.

- (a) Comprehensive general liability insurance: \$1,000,000
- (b) Professional liability insurance: \$1,000,000
- (c) Comprehensive motor vehicle liability insurance: California Minimum

6. Termination.

The number of days of advance written notice required for termination of this contract is thirty (30) days.

7. Specific Terms and Conditions (check one)

- ☐ [] There are no additional provisions to this contract.
- ☒ [X] The rights and duties of the parties to this contract are additionally governed by the specific, additional terms mutually agreed to and listed in Attachment D. Attachment D is made a part of this contract.
- ☒ [X] The rights and duties of the parties to this contract are additionally governed by the specific, additional terms mutually agreed to and listed in Attachment E. Attachment E is made a part of this contract.

8. Information about Contract Administrators.

The following names, titles, addresses, and telephone numbers are the pertinent information for the respective contract administrators for the parties.

Contract Administrator for COUNTY:

Name: Tracey Belton

Title: Deputy Director

Address: 1111 San Felipe Rd Suite 205

Hollister, California 95023

E-Mail: tbelton@cosb.us

Telephone No.: 831-630-5146

Fax No.: NA

Contract Administrator for CONTRACTOR:

Name: Carol Johnson-Schroetlin

Title: Clinical Psychologist

Address: PO Box 779

San Juan Baustista, CA 95045

E-Mail: NA

Telephone No.: 408-887-2008

Fax No.: 408-776-1901

SIGNATURES

APPROVED BY COUNTY:

Name: _____

Chair, San Benito County Board of Supervisors

Date: _____

APPROVED BY CONTRACTOR:



Name: CAROL A. JOHNSON-SCHROETLIN

Title: CLINICAL PSYCHOLOGIST

Date: 8-30-18

APPROVED AS TO LEGAL FORM:

Barbara Thompson, San Benito County Counsel

By: 

Date: 9-6-18

ATTACHMENT A

Scope of Services

A. GENERAL

CONTRACTOR shall provide counseling services for San Benito County, Department of Health & Human Services Agency, Child Protective Services (CPS) families who have been directed to participate in counseling to:

- Avoid removal of the family's child or children from the home; or
- Reunify the family following the removal of a child or children from the family home due to neglect, physical, emotional, and/or sexual abuse; or
- Avoid placement failure.

The contractor shall support the county's outcomes of improved safety, increased permanency, well-being and greater accountability.

Counseling comprises one element of an array of services available to families as part of their case plan. Other services and resources available to families include mental health services, substance abuse treatment, CalWORKs Welfare to Work programs, Family Resource Centers, regional centers, faith-based programs, and New Beginnings parenting programs, which will provide a continuum of services for families.

B. COUNSELING

The services provided via this contract must be strength based, demonstrate an effective quality of mental health services, demonstrate cultural competencies and demonstrate understanding of Lesbian, Gay, Bi-Sexual, Transgender and Questioning (LGBTQ) issues.

Counseling service components should be trauma-focused and evidence-based. The services are directed by a thorough understanding of the profound neurological, biological, psychological and social effects of trauma and violence on the individual and an appreciation for the high prevalence of traumatic experiences in persons who receive mental health and addiction services.

A counseling session shall be defined as any of the following:

- a 50-minute face-to-face individual/family/conjoint counseling session,
- a 50-minute face-to-face mental health assessment session.

CONTRACTOR must provide face-to-face counseling sessions; these may not be provided by phone or other telecommunication platform (e.g., FaceTime, Skype). CONTRACTOR must secure a confidential meeting place to provide services at CONTRACTOR expense. COUNTY does not guarantee a specific number of referrals to each contractor. Additionally, COUNTY may make referrals preferentially based on provider location, to therapists who have trauma-focused

experience and/or training, and other factors as determined necessary by County to best serve the needs of a specific client.

1. REPORTING REQUIREMENTS.

Information on reporting requirements for each mode of counseling is included in Attachment 1. The COUNTY may request reports in addition to the reports included in Attachment 1. A CPS social worker may request the additional reports via written request. CONTRACTOR must submit the additional report within fourteen days of receipt of request.

2. MONTHLY REPORTS.

CONTRACTOR shall submit monthly reports according to the instructions in Attachment 1 of this agreement.

3. PREPARATION FOR SUBPOENED TESTIMONY

COUNTY shall require CONTRACTOR to immediately report to the Deputy Director of Protective Service, the receipt of any subpoenas. COUNTY shall then ensure that the subpoenaed therapist(s) receive training on testifying in Juvenile Court. CONTRACTOR shall ensure that the following steps are completed prior to appearing in court:

- Review of any jurisdictional/dispositional reports, and/or other court reports, and/or social worker correspondence/referral forms regarding the Juvenile Court case to thoroughly understand the history and basis for the current allegation(s).
- Review of all therapist reports, notes of the participation in and benefit from counseling regarding the client.

4. TRAINING

All CONTRACTOR staff shall receive training provided and/or arranged by the CONTRACTOR in cultural diversity/competency; substance abuse; child abuse reporting; trauma-focused therapy; and Lesbian, Gay, Bi- Sexual, Transgender and Questioning (LGBTQ) issues.

5. PROHIBITED ACTIVITIES

CONTRACTOR, contractor employees, volunteers, and/or sub-contractors shall not transport or maintain custody/supervision of children. CONTRACTOR must provide written policy to caregiver(s) stating that caregiver(s) must remain on site of service while therapy is provided.

CONTRACTOR is prohibited from using any the following unconventional mental health treatments on children:

- Treatment/intervention that involves any physical, emotional, or sexual abuse as defined by California Penal Code 11164 or any treatment/intervention with high risk of abuse;
- Treatment/intervention that involves coercion or force of a client or caregiver;
- Treatment/intervention that includes any inappropriate relationship prohibited by § 4982 of California Board of Behavioral Sciences Statutes and Regulations and § 729 of California Board of Psychology Laws and Regulations;
- Any mental health intervention intended to change the sexual orientation of a youth 18 or younger per California AB 2943;
- Interventions that are not within the contractor's scope of expertise.

CONTRACTOR is prohibited from conducting sessions in public, non-confidential locations.

6. CONFIDENTIAL TELEPHONE ACCESS

In meeting the provision of confidentiality, CONTRACTOR shall ensure that all clients referred by the COUNTY are provided confidential telephone access to CONTRACTOR including, but not limited to, a business telephone line and confidential voicemail. If CONTRACTOR conducts business from a residence or a multi-use office, this will require the installation of a separate telephone line to be used exclusively for contract-related business and it must be inaccessible by others for personal use; this includes answering machines or voicemail.

C. OUTCOMES AND ACCOUNTABILITY

CONTRACTOR may be asked to participate in the following for purposes of improved outcomes and accountability:

- Responding in a timely manner to any questionnaires or surveys from COUNTY regarding short term counseling.
- Participation in COUNTY meetings designed to gather feedback from short term counseling contractors on enhancing and developing the areas of:
 - a. scope of work
 - b. identified outcomes to be measured
 - c. data tracking mechanisms
 - d. conflict resolution protocols.

CONTRACTOR is hereby notified that this scope of work may be revised on a yearly (or more frequent) basis to incorporate outcomes and other new requirements

END OF ATTACHMENT A.

Attachment 1: Individual, Family, and Conjoint Counseling

Referral Form	San Benito County "Counseling Referral Form" Note: If CONTRACTOR receives an incomplete referral form, CONTRACTOR must return it to the social worker or to CPS Deputy Director.
Scheduling of Appointment	CONTRACTOR shall schedule appointment as soon as possible but no later than fourteen (14) days of receiving referral form. If referred client does not make contact after three (3) attempts, CONTRACTOR will notify social worker and/or Deputy Director.
Counseling Location	CONTRACTOR is authorized to conduct individual/family/conjoint counseling sessions in the CONTRACTOR's office or client's home only as specified on the referral form. Counseling sessions must not take place in public or other non-confidential locations.
Number of Sessions	Short term individual, family, and conjoint counseling is comprised of up to 10 sessions. Extensions to this may be made upon the recommendation of CONTRACTOR and in collaboration with the Child and Family Team (CFT).
Trauma-Focused Experience/Training	Referrals may be made preferentially to therapists with trauma-focused counseling experience and/or training.
Therapist Responsibilities	• Read all provided reports/correspondence (including Court reports) prior to the first counseling session. • Provide face-to-face counseling. • Develop a written treatment plan related to mitigating the unsafe behaviors negatively impacting children. • Provide regular, timely feedback to social worker on client attendance and progress. Feedback may be in written or verbal format. Feedback must be provided prior to Court hearings but may be requested at other times. • Following completion of the authorized sessions (or if the client is no longer Participating): Prepare a written counseling report within 14 days of completion of the final session. The Counseling report must cover the client's progress toward reaching the treatment plan goals; and if additional services or support are needed following STC, transition/referrals to other available community-based resources should be included. • CONTRACTOR must notify Social Worker within 48 hours if any of the following events occur: 1. Client does not agree to an appointment 2. Client has missed two consecutive counseling sessions without contacting the CONTRACTOR, and/or 3. Client is not cooperating and/or no progress is being made through counseling. • Meet all requirements of the Scope of Work and Fee Schedule and Fiscal Requirements exhibits of this contract.
Where to Submit the Counseling Report:	To social worker via encrypted email OR by fax, (831) 637-2910
Intern Requirements	All reports prepared by interns (if applicable) must be reviewed and co-signed by the licensed clinician acting as the intern's supervisor.
Contractor Questions	Contact the CPS Deputy Director
Waiting List	CONTRACTOR shall inform the CPS Deputy Director if not currently accepting clients because of a waiting list for services.

ATTACHMENT B
Payment Schedule

B-1. BILLING

Charges for services rendered pursuant to the terms and conditions of this contract shall be invoiced on the following basis: (check one)

- ☒ One month in arrears.
☐ Upon the complete performance of the services specified in Attachment A.
☒ The basis specified in paragraph B-4.

B-2. PAYMENT

Payment shall be made by COUNTY to CONTRACTOR at the address specified in paragraph 8 of this contract, net thirty (30) days from the invoice date.

B-3. COMPENSATION

COUNTY shall pay to CONTRACTOR: (check one)

☐ a total lump sum payment of \$ _____, or

☒ a total sum not to exceed \$ 20,000.00,

for services rendered pursuant to the terms and conditions of this contract and pursuant to any special compensation terms specified in this attachment, Attachment B.

B-4. SPECIAL COMPENSATION TERMS: (check one)

☐ There are no additional terms of compensation.

☒ The following specific terms of compensation shall apply: (Specify)

- a) CONTRACTOR shall be paid a rate of \$120 per face to face counseling session and \$60 per hour for assessments and report writing as outlined in Attachment A – Scope of Services
- b) CONTRACTOR shall complete and submit an invoice for the prior month's services on or before the 15th of the month. The invoice will identify the client, the service provided, date of service, duration of service in minute increments, the contract rate for the service and the total amount charged. CONTRACTOR shall sign all invoices. Invoices which do not include the above information will not be paid.

- c) COUNTY shall pay to CONTRACTOR One hundred dollars (\$120.00) for each scheduled hour when a client fails to show for an appointment and/or is late to an appointment without prior notice; no charge shall be incurred when a referred COUNTY client has called 24 hours in advance to reschedule an appointment.
- d) The Agency may, in its sole discretion, terminate the contract or withhold payments claimed by the Contractor for services rendered if the Contractor fails to satisfactorily comply with any term or condition of this contract.

Accounting contact for COUNTY:

Name: Casey Estorga

Title: Accounting Technician

Address: 1111 San Felipe Rd Ste 206

Hollister, California 95023

E-Mail: cestorga@cosb.us

Telephone No.: 831-630-5179

Fax No.: NA

Accounting contact for CONTRACTOR:

Name: Carol Johnson Schroetlin

Title: Clinical Psychologist

Address: PO Box 799

San Juan Bautista, CA 95045

E-Mail: NA

Telephone No.: 408-887-2008

Fax No.: 408-776-1901

END OF ATTACHMENT B

ATTACHMENT C

General Terms and Conditions

C-1. INDEMNIFICATION.

CONTRACTOR and COUNTY each agree to indemnify, defend and save harmless the other party and the other party's officers and employees, from and against any and all claims and losses whatsoever arising out of, or in any way related to, the indemnifying party's performance under this contract, including, but not limited to, claims for property damage, personal injury, death, and any legal expenses (such as attorneys' fees, court costs, investigation costs, and experts' fees) incurred by the indemnitee in connection with such claims or losses. A party's "performance" includes the party's action or inaction and the action or inaction of that party's officers and employees.

C-2. GENERAL INSURANCE REQUIREMENTS.

Without limiting CONTRACTOR's duty to indemnify COUNTY, CONTRACTOR shall comply with the insurance coverage requirements set forth in the contract and in this attachment. Those insurance policies mandated by Paragraph C-3 shall satisfy the following requirements:

- (a) Each policy shall be issued by a company authorized by law to transact business in the State of California.
- (b) Each policy shall provide that COUNTY shall be given notice in writing at least thirty (30) days in advance of any change, cancellation, or nonrenewal thereof.
- (c) The comprehensive motor vehicle and comprehensive general liability policies shall each provide an endorsement naming the County of San Benito and its officers, agents and employees as additional insureds.
- (d) The required coverage shall be maintained in effect throughout the term of this contract.

CONTRACTOR shall require all subcontractors performing work under this contract to obtain substantially the identical insurance coverage required of CONTRACTOR pursuant to this agreement.

C-3. INSURANCE COVERAGE REQUIREMENTS.

If required by paragraph 5 of the contract, CONTRACTOR shall maintain the following insurance policies in full force and effect during the term of this contract:

- (a) Comprehensive general liability insurance. CONTRACTOR shall maintain comprehensive general liability insurance, covering all of CONTRACTOR's operations with a combined single limit of not less than the amount set out in paragraph 5 of this contract.
- (b) Professional liability insurance. CONTRACTOR shall maintain professional liability insurance with liability limits of not less than the amount set out in paragraph 5 of this contract.
- (c) Comprehensive motor vehicle liability insurance. CONTRACTOR shall maintain comprehensive motor vehicle insurance covering all motor vehicles (including owned,

non-owned and hired) used in providing services under this contract, with a combined single limit of not less than the amount set out in Paragraph 5 of this contract.

- (d) Workers' compensation insurance. CONTRACTOR shall maintain a workers' compensation plan covering all of its employees as required by California Labor Code Section 3700, either through workers' compensation insurance issued by an insurance company or through a plan of self-insurance certified by the State Director of Industrial Relations. If CONTRACTOR elects to be self-insured, the certificate of insurance otherwise required by this contract shall be replaced with a consent to self-insure issued by the State Director of Industrial Relations.

C-4. CERTIFICATE OF INSURANCE.

Prior to the commencement of performance of services by CONTRACTOR and prior to any obligations of COUNTY, CONTRACTOR shall file certificates of insurance with COUNTY, showing that CONTRACTOR has in effect the insurance required by this contract. CONTRACTOR shall file a new or amended certificate promptly after any change is made in any insurance policy which would alter the information on the certificate then on file. In lieu of providing proof of insurance, CONTRACTOR may provide proof of self-insurance meeting requirements equivalent to those imposed herein. CONTRACTOR warrants that CONTRACTOR's self-insurance provides substantially the same protection to COUNTY as the insurance required herein. CONTRACTOR further agrees to notify COUNTY in the event any change in self-insurance occurs that would alter the obligations undertaken in this contract within thirty (30) days of such change.

C-5. RECORDS TO BE MAINTAINED.

CONTRACTOR shall keep and maintain accurate records of all costs incurred and all time expended for work under this contract. CONTRACTOR shall contractually require that all of CONTRACTOR's subcontractors performing work called for under this contract also keep and maintain such records. All such records, whether kept by CONTRACTOR or any subcontractor, shall be made available to COUNTY or its authorized representative, or officials of the State of California for review or audit during normal business hours, upon reasonable advance notice given by COUNTY, its authorized representative, or officials of the State of California.

C-6. RETENTION OF RECORDS.

CONTRACTOR shall maintain and preserve all records related to this contract for a period of three years from the close of the fiscal year in which final payment under this contract is made. CONTRACTOR shall also contractually require the maintenance of such records in the possession of any third party performing work related to this contract for the same period of time. Such records shall be retained beyond the three-year period, if any audit involving such records is then pending, until the audit findings are resolved. The obligation to insure the maintenance of the records beyond the initial three year period shall arise only if the COUNTY notifies CONTRACTOR of the commencement of an audit prior to the expiration of the three year period.

C-7. TITLE TO DOCUMENTS; COPYRIGHT.

All reports and other materials collected or produced by the CONTRACTOR or any subcontractor of CONTRACTOR shall, after completion and acceptance of the contract, become the property of COUNTY, and shall not be subject to any copyright claimed by the CONTRACTOR, subcontractor, or their agents or employees. CONTRACTOR may retain copies of all such materials exclusively for administrative purposes. Any use of completed or uncompleted documents for other projects by CONTRACTOR, any

subcontractor, or any of their agents or employees, without the prior written consent of COUNTY is prohibited.

C-8. INDEPENDENT CONTRACTOR.

CONTRACTOR and its officers and employees, in the performance of this contract, are independent contractors in relation to COUNTY and not officers or employees of COUNTY. Nothing in this contract shall create any of the rights, powers, privileges or immunities of any officer or employee of COUNTY. CONTRACTOR shall be solely liable for all applicable taxes or benefits, including, but not limited to, federal and state income taxes, Social Security taxes, or ERISA retirement benefits, which taxes or benefits arise out of the performance of this contract. CONTRACTOR further represents to COUNTY that CONTRACTOR has no expectation of receiving any benefits incidental to employment.

C-9. CONFLICT OF INTEREST.

CONTRACTOR covenants that it presently has no interest and shall not acquire any interest, direct or indirect, financial or otherwise, which would conflict in any manner or degree with the performance of the services hereunder. CONTRACTOR further covenants that, in the performance of this contract, no subcontractor or person having such an interest shall be used or employed.

C-10. COMPLIANCE WITH APPLICABLE LAWS.

CONTRACTOR shall comply with all applicable federal, state and local laws now, or hereafter, in force, and with any applicable regulations, in performing the work and providing the services specified in this contract. This obligation includes, without limitation, the acquisition, and maintenance of any permits, licenses, or other entitlements necessary to perform the duties imposed expressly or impliedly under this contract.

C-11. NONDISCRIMINATION.

CONTRACTOR shall not discriminate in the employment of persons necessary to perform this contract on any legally impermissible basis, including on the basis of the race, color, national origin, ancestry, religion, age, sex, or disability of such person.

C-12. BANKRUPTCY.

CONTRACTOR shall immediately notify COUNTY in the event that CONTRACTOR ceases conducting business in the normal manner, becomes insolvent, makes a general assignment for the benefit of creditors, suffers or permits the appointment of a receiver for its business or assets, or avails itself of, or becomes subject to, any proceeding under the Federal Bankruptcy Act or any other statute of any state relating to insolvency or protection of the rights of creditors.

C-13. PROHIBITION AGAINST ASSIGNMENT AND DELEGATION OF DUTIES.

Except as specifically authorized herein, no rights under this contract may be assigned and no duties under this contract may be delegated by CONTRACTOR without the prior written consent of COUNTY, and any attempted assignment or delegation without such consent shall be void.

C-14. NEGOTIATED CONTRACT.

This contract has been arrived at through negotiation between the parties. Neither party is to be deemed the party which prepared this contract within the meaning of California Civil Code Section 1654.

C-15. SEVERABILITY.

Should any provision herein be found or deemed to be invalid, this contract shall be construed as not containing such provision, and all other provisions which are otherwise lawful shall remain in full force and effect. To this end, the provisions of this contract are declared to be severable.

C-16. ENTIRE CONTRACT.

This contract is the entire agreement of the parties. There are no understandings or agreements pertaining to this contract except as are expressly stated in writing in this contract or in any document attached hereto or incorporated herein by reference.

C-17. TIME IS OF THE ESSENCE.

Time is of the essence in the performance of this contract.

C-18. TERMINATION.

Either party may terminate this contract, with or without cause, at any time. In order to terminate this contract, the terminating party shall give advance written notice to the other party. The termination shall be effective no earlier than the expiration of the number of days specified in paragraph 6 of this contract. The termination notice shall be made as specified in paragraph C-19, below. In the event of termination, COUNTY shall pay CONTRACTOR for all work satisfactorily performed prior to the effective date of the termination.

C-19. NOTICES.

Notices to the parties in connection with the administration of this contract shall be given to the parties' contract administrator personally, by regular mail, or by facsimile transmission as more particularly specified in this paragraph. Notices will be deemed given on:

- (a) The day the notice is personally delivered to the contract administrator or the office of the party's contract administrator; or
- (b) Five days after the date the notice is deposited in the United States mail, addressed to a party's contract administrator as indicated in this contract, with first-class postage fully prepaid; or
- (c) On the day that the notice is transmitted by facsimile to a party's facsimile number specified in paragraph 8 of this contract, provided that an original of such notice is deposited in the United States mail, addressed to a party's contract administrator as indicated in this contract, on the same day as the facsimile transmission is made.

C-20. RESPONSIBILITY OF CONTRACT ADMINISTRATORS.

All matters concerning this contract which are within the responsibility of the parties shall be under the direction of, or shall be submitted to, the respective contract administrators or to the party's employee specified, in writing, by the contract administrator. A party may, in its sole discretion, change its

designation of its contract administrator and shall promptly give written notice to the other party of any such change.

C-21. MATERIALITY.

The parties consider each and every term, covenant, and provision of this contract to be material and reasonable.

C-22. WAIVER.

Waiver by either party of a breach of any covenant of this contract will not be construed to be a continuing waiver of any subsequent breach. COUNTY's receipt of consideration with knowledge of CONTRACTOR's violation of a covenant does not waive its right to enforce any covenant of this contract. The parties shall not waive any provisions of this contract unless the waiver is in writing and signed by all parties.

C-23. AUTHORITY AND CAPACITY.

CONTRACTOR and CONTRACTOR's signatory each warrant and represent that each has full authority and capacity to enter into this contract.

C-24. BINDING ON SUCCESSORS.

All of the conditions, covenants and terms herein contained shall apply to, and bind, the heirs, successors, executors, administrators and assigns of CONTRACTOR. CONTRACTOR and all of CONTRACTOR's heirs, successors, executors, administrators, and assigns shall be jointly and severally liable under this contract.

C-25. CUMULATION OF REMEDIES.

All of the various rights, options, elections, powers and remedies of the parties shall be construed as cumulative, and no one of them exclusive of any other or of any other legal or equitable remedy which a party might otherwise have in the event of a breach or default of any condition, covenant or term by the other party. The exercise of any single right, option, election, power or remedy shall not, in any way, impair any other right, option, election, power or remedy until all duties and obligations imposed shall have been fully performed.

C-26. INDEPENDENT ADVICE.

Each party hereby represents and warrants that in executing this contract it does so with full knowledge of the rights and duties it may have with respect to the other. Each party also represents and warrants that it has received independent legal advice from its attorney with respect to the matters set forth in this contract and the rights and duties arising out of this contract, or that such party willingly foregoes any such consultation.

C-27. NO RELIANCE ON REPRESENTATIONS.

Each party hereby represents and warrants that it is not relying, and has not relied, upon any representation or statement made by the other party with respect to the facts involved or its rights or duties. Each party understands and agrees that the facts relevant, or believed to be relevant to this contract may hereunder turn out to be other than, or different from the facts now known to such party as true, or believed by such party to be true. The parties expressly assume the risk of the facts turning out to

be different and agree that this contract shall be effective in all respects and shall not be subject to rescission by reason of any such difference in facts.

C-28. REDUCTION OF CONSIDERATION.

CONTRACTOR agrees that COUNTY shall have the right to deduct from any payments specified in Attachment B any amount owed to COUNTY by CONTRACTOR as a result of any obligation arising prior to, or after, the execution of this contract. For purposes of this paragraph, obligations arising prior to, or after, the execution of this contract may include, without limitation, any property tax, secured or unsecured, which tax is in arrears. If COUNTY exercises the right to reduce the consideration specified in Attachment B, COUNTY, at the time of making a reduced payment, shall give CONTRACTOR notice of the amount of any off-set and the reason for the reduction.

C-29. COUNTERPARTS.

This contract may be executed in any number of counterparts, each of which so executed shall be deemed to be an original. The counterparts shall together constitute one contract.

C-30 VALID PROFESSIONAL LICENSE.

CONTRACTOR asserts possession of a current valid license to practice psychology and/or provide marriage, family and child counseling by the State of California Board of Psychology or California Board of Behavioral Sciences. CONTRACTOR asserts that there is no investigation pending regarding CONTRACTOR'S license to practice psychology or marriage, family and child counseling in the State of California; CONTRACTOR agrees to notify COUNTY immediately should the status of any of the assertions in this paragraph change or come into question.

C-31 FELONY CHARGES.

CONTRACTOR asserts that there are no current felony charges under investigation regarding conduct of CONTRACTOR and further agrees to provide immediate full disclosure to COUNTY of any criminal charges brought against CONTRACTOR during the period this contract is in effect.

C-32 MALPRACTICE CLAIMS.

CONTRACTOR will notify COUNTY of any and all past negative malpractice judgments, awards, and/or settlements, and of any and all current or pending malpractice actions within fifteen (15) days of receipt of notice of such actions.

END OF ATTACHMENT C.

ATTACHMENT D

PUBLIC SOCIAL SERVICES INFORMATION CONFIDENTIALITY STATEMENT Consultants and Independent Contractors Accessing Child Protective Services Records

The Health & Human Services Agency (H&HSA) is responsible for securing confidential information from individuals and families for purposes of providing public social services. H&HSA takes this responsibility seriously. By State law (California Welfare and Institutions Code § 827 and 828, and Penal Code § 1203.05, and 1203.09 and 11140 through 11144) all juvenile records and Child Protective Services case information which is in the CONTRACTOR'S care and possession is confidential and no information relating to any adult or minor is to be in any way released to anyone except those authorized employees of the San Benito County Health and Human Services Agency – Child Protective Services Department.

You may only access confidential information if you have a specific program business need for that information in the performance of your contract with County. You may only disclose confidential information to the contract administrator, child welfare staff, Agency Director or Child Welfare Deputy Director or other individuals specifically named in the contract with County. If you access confidential information without a specific program business need or if you disclose confidential information to any person other than those specified in the contract or this confidentiality statement, your contract may be immediately terminated by the County, and you may be subject to criminally fines or penalties.

By your signature and initials below, you acknowledge that confidential child protective services information is subject to strict confidentiality requirements imposed by state and federal law including, but not limited to: California Welfare and Institutions Code § 827 and 828, and Penal Code § 1203.05, and 1203.09 and 11140 through 11144, and California W&I Code §10850.

READ AND INITIAL EACH OF THE STATEMENTS PRINTED BELOW

I certify that, in order to ensure the confidentiality and security of data, I agree to:

- ☒ Access, distribute, share, and retain confidential data only as authorized and only as needed to conduct Agency business as required to perform my contract scope of services.
- ☒ Store under secure conditions all confidential data that I retain and ensure its confidential and timely destruction when no longer needed to fulfill the services under my contract.
- ☒ Respect the confidentiality and privacy of individuals whose data I access.
- ☒ Protect confidential information located at my place of business.
- ☒ Report immediately to the County any and all apparent and suspected security breaches of County confidential information.

I Certify that I agree NOT to:

- ☒ Discuss verbally or distribute in electronic or printed formats any confidential data except as authorized and as needed to perform my contract scope of services.
- ☒ Make unauthorized copies of confidential data.
- ☒ Engage in any activity that would compromise the security or confidentiality of data held in County records.

I certify that I have read, understand and initialed the confidentiality statement printed above and agree to comply with them.

CAROL A JOHNSON-SCHROETUN
CONTRACTOR NAME

SIGNATURE

Date

8-30-18

ATTACHMENT D

ATTACHMENT E
SAN BENITO COUNTY
BUSINESS ASSOCIATE ADDENDUM

- (a) Contractor shall comply with, and assist the County in complying with, the privacy requirements of the Health Insurance Portability and Accountability Act (including but not limited to 42 U.S.C. 1320d et seq.; "HIPAA") and its implementing regulations (including but not limited to 45 CFR Parts 142, 160, 162 and 164), hereinafter collectively referred to as the "Privacy Rule." Terms used but not otherwise defined in this Addendum shall have the same meaning as those terms are used in the Privacy Rule.
- (b) Except as otherwise limited in this Addendum, Contractor may use or disclose Protected Health Information to perform functions, activities, or services for or on behalf of the County as specified in this Addendum, provided that such use or disclosure would not violate the Privacy Rule with which the County complies.
- (c) Contractor shall not use or further disclose Protected Health Information other than as permitted or required by this Addendum, or as required by law.
- (d) Contractor shall use appropriate safeguards to prevent use or disclosure of the Protected Health Information other than as provided for by this Addendum.
- (e) Contractor shall report to the County any use or disclosure of the Protected Health Information not provided for by this Addendum.
- (f) Contractor shall mitigate, to the extent practicable, any harmful effect that is known to Contractor as a result of a use or disclosure of Protected Health Information by Contractor which is in violation of the requirements of this Agreement.
- (g) Contractor shall ensure that any agent, including a subcontractor, to whom it provides Protected Health Information received from, created, or received by Contractor on behalf of the County, agrees to the same restrictions and conditions that apply through this Agreement to Contractor with respect to such information.
- (h) Contractor shall provide access, at the request of the County, and in the time and manner designated by the County, to Protected Health Information in a Designated Record Set; this Protected Health Information will be released to the County or, as directed by the County, to an Individual, in order to meet the requirements under 45 CFR Section 164.524.
- (i) Contractor shall make any amendment(s) to Protected Health Information in a Designated Record Set that the County directs, pursuant to 45 CFR Section 164.526, at the request of the County or an Individual, and in the time and manner designated by the County.
- (j) Contractor shall document such disclosures of Protected Health Information and information related to such disclosures as would be required for the County, to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 CFR Section 164.528.

- (k) Contractor shall provide to the County or an Individual, in the time and manner designated by the County, information collected in accordance with subsection (j), to permit the County to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 CFR Section 164.528.
- (l) Contractor shall make internal practices, books, and records relating to the use and disclosure of Protected Health Information received from, or created or received by Contractor on behalf of the County, available to the County; or at the request of the County, to the Secretary of the United States Department of Health and Human Services ("Secretary"), in a time and manner designated by the County or the Secretary, for purposes of the Secretary determining the County's compliance with the Privacy Rule.
- (m) A breach by Contractor of any provision of this Addendum, as determined by County, shall constitute a material breach of the contract and shall provide grounds for immediate termination of the Contract by the County.
 - (1) Except as provided in subparagraph (2) of this section, upon termination of this Addendum for any reason, Contractor shall return or destroy all Protected Health Information received from the County, or created or received by Contractor on behalf of the County. This provision shall apply to Protected Health Information that is in the possession of subcontractors or agents of the Contractor. Contractor, its agents and subcontractors shall retain no copies of the Protected Health Information.
 - (2) In the event that Contractor determines that returning or destroying the Protected Health Information is infeasible, Contractor shall provide to the County notification of the conditions that make return or destruction infeasible. Upon mutual agreement of the Parties that return or destruction of Protected Health Information is infeasible, Contractor shall extend the protections of this Addendum to such Protected Health Information and limit further uses and disclosures of such Protected Health Information to those purposes that make the return or destruction infeasible, for so long as Contractor, or any of its agents or subcontractors, maintains such Protected Health Information.
- (n) The Parties agree to take action to amend this Agreement from time to time as is necessary for the County to comply with the requirements for the Privacy Rule or any other requirements of HIPAA and its implementing regulations.